



NOTICE 16/18

Date: 11 May 2018

Subject: Update of Order Record Keeping Handbook

MEFF informs there is a new value ("N"), exclusively available for RC records, in order to complete data regarding to orders' holders, decision makers within the firm and executors within the firm.

This kind of records is solely used to make amendments at orders previously informed to MEFF. Those firms which only use RI records will not be affected anyway by this change.

Value "N" indicates the lack of a concrete data as described below:

- "N" at client indicates there is no client (the order belongs to the own account).
- "N" at decision maker within the firm indicates that the decision has not been made within the firm (the client has made the decision).
- "N" at executor within the firm indicates that the decision related to the venue where to place the order has not been made within the firm (the client has made the decision related to the venue where to place the order).

This change will come into force at ORK next 21 May (included).

The updated ORK handbook is available in:

http://www.meff.es/docs/docsSubidos/MiFID_eng/Order_Record_Keeping_Hand_Book_1_1_2.pdf

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